## ICATION TRANSMITTA

LETTER



### TO THE ASSISTANT COMMISSIONER OF PATENTS:

Transmitted herewith for filing is the patent application identified as follows:

Inventors:

KAWAN, Joseph C., TAKATA, Melvin and HANOVER, Coleman

Title:

System and Method for Automated Electronic Scrip Transactions

Enclosed are:

28 Sheets of Specification, including 8 sheets of claims and an Abstract Seven (7) Sheets of Drawings (Figures 1-7)
Declaration and Power of Attorney for Patent Application (Unexecuted)
Transmittal of Application Under 37 CFR 1.41(c)

The filing fee has been calculated as follows:

#### **CLAIMS AS FILED**

	Number Filed/Paid For	Number E	Extra Rate	Fee
BASIC FEE			\$760	\$760
TOTAL CLAIMS	66-20	46	\$18	\$828
INDEPENDENT CL	AIMS 2-3	. 0	\$78	\$ 0
MULTIPLE DEPEN	DENT CLAIM PRESENT	- NO	\$260	\$ 0

**FEE TOTAL** 

\$1,588

Enclosed is a check in the amount of \$1,588 to cover the filing fees. The Commissioner is hereby authorized to charge any additional fees required by this action, or credit any overpayment to Deposit Account No. 11-0855. A duplicate of this sheet is attached for that purpose.

Please address all correspondence and telephone calls in connection with this application to:

George T. Marcou, Esq. Kilpatrick Stockton LLP 700 13th Street, NW, Suite 800 Washington, DC 20005 (202) 508-5800 (Phone) (202) 508-5858 (Facsimile)

Dated: 5/17/59

John M. Harrington, Reg. No. 25,592 for

George T. Marcou

Kilpatrick Stockton LLP 700 13th Street, NW, Suite 800 Washington, DC 20005

T0091-174118 WINLIB01:747453.01

#### **EXPRESS MAIL CERTIFICATE**

Express Mail" mailing label number:

EM 508 445 582 US

Date of Deposit

May 17, 1999

Type of Document(s)

28 Sheets of Specification,

including 8 sheets of claims and

an Abstract;

Seven (7) Sheets of Drawings; Declaration and Power of Attorney

for Patent Application

(Unexecuted);

Patent Application Transmittal

Letter (duplicate);

Transmittal of Application Under 37

CFR 1.41(c);

Check in the amount of \$1,588.00;

and

Return Postcard

Serial No.

Unassigned

Filing Date

Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

T0091-174118 WINLIB01:747658.01

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

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KAWAN, Joseph C. et al.

Serial No.

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To be Assigned

Filing Date

Transmitted Herewith

For

System and Method for Automated Electronic Scrip

Transactions

Assistant Commissioner for Patents Box: PATENT APPLICATION

Washington, D.C. 20231

#### TRANSMITTAL OF APPLICATION UNDER 37 CFR 1.41(c)

Sir:

The undersigned attorney hereby makes application for U.S. Letters Patent on behalf of Applicants Joseph C. Kawan, Melvin Takata and Coleman Hanover, whose addresses and citizenships are as follows:

Inventor Address Citizenship

KAWAN, Joseph C. 2034 Paramount Drive
Hollywood, CA 90068

TAKATA, Melvin 855 Paseo Del Robledo
Thousand Oaks, CA 91360

HANOVER, Coleman 1874 Main Road
Westpoint, MA 02791

Applicant claims the benefit, under 35 USC § 119, of U.S. Provisional Application Serial Number 60/086,603 filed May 22, 1998.

Please address all correspondence and telephone calls in connection with this application to:

George T. Marcou, Esq. Kilpatrick Stockton LLP 700 13th Street, NW, Suite 800 Washington, D.C. 20005 (202) 508-5800 (phone) (202) 508-5858 (facsimile)

Date: 5/17/99

KILPATRICK STOCKTON LLP Kilpatrick Stockton LLP 700 13th Street, NW, Suite 800 Washington, D.C. 20005 T0091-174118 WINLIB01:747446.01 Respectfully submitted,

John M. Harrington, Reg. No. 25, 592

for George T. Marcou